

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

45216 7590 08/31/2006

KUNZLER & ASSOCIATES  
8 EAST BROADWAY  
SUITE 600  
SALT LAKE CITY, UT 84111



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

### Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

	(Depositor's name)
	(Signature)
	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/702,410	11/06/2003	David F. Hepner	SJ0920030018US1	8341

TITLE OF INVENTION: COMPUTER-COMPONENT POWER-CONSUMPTION MONITORING AND CONTROL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	11/30/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
STOYNOV, STEFAN	2116	713-300000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	<i>1 Kunzler &amp; Associates</i> <i>2 _____</i> <i>3 _____</i>
------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. 89/28/2006 HBERHE1 00000019 090466 10702410

(A) NAME OF ASSIGNEE

*International Business  
Machines Corporation*

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

*Armonk, NY*  
01 FL:1391 1400.00 DA  
02 FC:1584 .00.00 DA  
03 FC:0001 3.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

- Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies 1

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- A check is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 09-0466 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

9/14/06

Typed or printed name

Brian C. Kunzler

Registration No. 38,527

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



**PATENT**  
**Docket No. SJO920030018US1**

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

APPLICANT(S): DAVID F. HEPNER

SERIAL NO.: 10/702,410

FILING DATE: NOVEMBER 6, 2003

TITLE: COMPUTER-COMPONENT POWER-CONSUMPTION  
MONITORING AND CONTROL

EXAMINER: STEFAN STOYNOV

GROUP ART UNIT: 2116

ATTY. DKT. No.: SJO920030018US1

MAIL STOP ISSUE FEE  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**TRANSMITTAL OF THE  
PAYMENT OF ISSUE FEE**

Sir:

The enclosed Payment of the Issue Fee Due is submitted herewith pursuant to 37 C.F.R. § 1.67 and M.P.E.P. § 603.01 for filing in the matter of the United States patent application as hereinabove identified. A duplicate copy of this sheet is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Commissioner of Patents  
September 14, 2006  
Page 2

Respectfully Submitted,  
Brian C. Kunzler

Brian C. Kunzler  
Brian C. Kunzler  
Reg. No. 38,527  
Attorney for Applicant

Date: September 14, 2006

Brian C. Kunzler  
8 East Broadway, Suite 600  
Salt Lake City, Utah 84111  
Telephone: 801/994-4646



CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to:  
Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450  
on \_\_\_\_\_

Respectfully submitted,

---

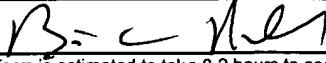
Transmitted: Transmittal of Payment of Issue Fee.

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b>		<b>Complete If Known</b>	
		Application Number	10/702,410
		Filing Date	November 6, 2003
		First Named Inventor	David F. Hepner
		Group Art Unit	2116
		Examiner Name	Stefan Stoynov
<b>TOTAL AMOUNT OF PAYMENT</b>	\$ 1703	Attorney Docket Number	SJO920030018US1

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. <b>ADDITIONAL FEES</b>			
Deposit Account Number: <u>09-0466</u>		Large Entity	Small Entity	Fee Description	
Deposit Account Name: <u>IBM CORPORATION</u>		Fee Code	Fee (\$)	Fee Code	Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance		1051	130	2051	65 Surcharge - late filing fee or oath
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
		1053	130	1053	130 Non-English specification
		1812	2520	1812	2520 For filing a request for reexamination
		1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
		1805	1840*	1805	1840* Requesting publication of SIR after Examiner action
		1251	120	2251	60 Extension for reply within first month
		1252	450	2252	225 Extension for reply within second month
		1253	1020	2253	510 Extension for reply within third month
		1254	1530	2254	765 Extension for reply within fourth month
		1255	2160	2255	1080 Extension for reply within fifth month
		1401	500	2401	250 Notice of Appeal
		1402	500	2402	250 Filing a brief in support of an appeal
		1403	1000	2403	500 Request for oral hearing
		1451	1510	1451	1510 Petition to institute a public use proceeding
		1452	110	2452	55 Petition to revive -unavoidably
		1453	1370	2453	685 Petition to revive - unintentional
		1501	1400	2501	700 Utility issue fee
		1502	800	2502	400 Design issue fee
		1503	1100	2503	550 Plant issue fee
		1460	130	1460	130 Petitions to the Commissioner
		1807	50	1807	50 Petitions related to provisional applications
		1806	180	1806	180 Submission of Information Disclosure Stmt
		8021	40	8021	40 Recording each patent assignment per property (times number of properties)
		1801	790	2801	395 Request for continued examination (RCE) (37 CFR 1.114)
		1809	790	2809	395 Filing a submission after final rejection (37 CFR 1.129(a))
		1810	790	2810	395 For each additional invention to be examined (37 CFR 1.129(b))
		Other fee (specify) <u>Fee Code: 8001 Fee: 3.00 each Printed Copy of Patent w/o color, regular service delivery by USPS, UPSTO Box, or electronic means.</u>			
		Other fee (specify) <u>Publication Fee</u>			
<b>SUBTOTAL (1)</b>		\$ 0		<b>SUBTOTAL (3)</b>	\$ 1703
<b>SUBTOTAL (2)</b>		0		*Reduced by Basic Filing Fee	

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	Brian C. Kunzler			Reg. Number	38,527
Signature				Date	Sep 14, 2006
Deposit Account User ID					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231